

# WSU Code of Conduct (relating to members and Officer Trustees of the Union)

# Overview

Worcester Students' Union aims to foster a community that has an atmosphere of trust, harmony and respect. The Students' Union's has a duty to ensure that it provides a safe environment of its members on our premises and at events and activities facilitated by us, both on and off campus. This document sets out the standards to which we expect individual members and our affiliated student groups to abide, as well as our complaints and disciplinary procedures. Students registered with the University of Worcester should note that they are also subject to the University's Student Disciplinary Procedures and the Union reserves the right to refer students to this process where it feels necessary and appropriate.

If you have any difficulty in understanding or interpreting this Code of Conduct, please contact the Union's Chief Executive.

General	A meeting open to all members of the Union.		
Meeting			
Members (of	All Worcester students automatically become full members of the		
the Union)	Union (for free) when they join the University unless they choose		
	to opt-out of membership. Alumni and University staff can apply		
	and pay for associate membership of the Union. Student Council		
	can also grant up to five people per year Honorary Life		
	Membership of the Union		
Trustee	A person on the Union's Board of Trustees who is responsible for		
	ensuring good governance of the Union.		
Officer Trustee	One of the elected Full Time Officers of the Union – they are also		
	Trustees of the Union (also see Trustee definition).		
Lay Trustee	A person who is independent from the Union who is appointed to		
	the Union's Board of Trustees (following an interview) as they		

# Key Terms



	have expertise in a particular field e.g. finance or HR (also see
	Trustee definition).
Lead Trustee	Lay member of the Trustee Board who supervises the formal
	disciplinary and complaints procedures of the Union
Vote of No	A vote on whether a person in a position of responsibility (e.g.
Confidence	Officer Trustee, Committee Member, Course Rep) is no longer
	deemed fit to hold that position, perhaps because they are
	deemed to be failing to carry out the role effectively, or are
	making decisions that others feel are detrimental.
Balance of	Saying something is proven on a balance of probabilities means
probabilities	that it is more likely to have occurred than not to have occurred.
	It is a benchmark that is used in civil law and means that
	something does not have to be 'proven beyond reasonable
	doubt' as in criminal law. Disciplinary, Complaints and Appeals
	panels will examine all evidence put in front of them and make
	decisions on this basis.
Vexatious	A vexatious complaint is one that is pursued, regardless of its
Complaint	merits, solely to harass, annoy or cause harm to somebody;
	something that is unreasonable, without foundation, frivolous,
	repetitive, burdensome, or unwarranted.

# Statement

- In line with Clause 18 of the Union's Constitution, this Code of Conduct applies to the following categories of membership of the Union who will in this document be referred to as 'Members':
  - a. Full Members of the Union including Officer Trustees;
  - b. Associate Members of the Union;
  - c. Students who have opted out of Union membership but who are taking part in Union activities as permitted in Point 9 of Bye Law 1 (Membership).
- This Code applies equally to individuals and groups of students. This includes volunteers, Representatives (including Course and School Reps), members of Societies, Sports Clubs, Student Led Services, and Student Networks of the Union



- The Union will treat all disciplinary action with confidentiality and has an expectation that all parties involved will ensure that confidentiality will be kept throughout any investigatory or disciplinary process, including the content of meetings.
- 4. No disciplinary action will be taken against a Member until an investigation has been carried out.
- 5. The Code of Conduct aims to:
  - Ensure the Union follows agreed procedures that are transparent, timely, fair and sensible, when dealing with conduct or disciplinary matters involving Members;
  - b. Manage risk and support the engagement and welfare of students,
  - c. Ensure that any outcomes of a disciplinary process include positive support for students based on fair and unbiased decision making.
  - d. Ensure that students accept responsibility for actions that have had a negative effect on others.
- 6. Worcester Students' Union and its Board of Trustees have an ongoing responsibility around the safety and wellbeing of all Union Members. The Trustee Board shall annually appoint a Lead Trustee (who shall be a Lay Trustee) to supervise the formal complaints and disciplinary procedures set out below. The Union will provide the Board with an annual report on complaints.
- This Code does not apply to Union employees, who have their own disciplinary procedures, apart from Officer Trustees and student staff who are covered by both procedures.
  - a. Officer Trustees will be covered subject to the same principles as other members. Due to their special status specific guidance can be found in Points 71 to 75 of this document

# Membership Code of Conduct

- 8. Alongside the rules outlined below, Members are expected to conduct themselves according to the rules laid out in the following Students' Union and University documents:
  - a. the Union's Constitution and Bye Laws;
  - b. The Union's Bar Regulations;



- c. the University's Student Disciplinary Procedures.
- 9. Full copies of the above documents will be available via the Union's website.
- 10. Breaches of any of the rules in this Code or the documents above will be considered to be misconduct and could lead to Members being subject to the Union's Disciplinary Procedures as outlined in Points 15-75 below.
- 11. Disciplinary action may be taken in respect of any breach of discipline:
  - d. On the Union's premises;
  - e. While the Member is using the Union facilities or at a Union event;
  - f. While a Member is representing or acting on behalf of the Union at any event of whatever kind and wherever held;
  - g. In relation to actions or incidents between two or more representatives of the Union in any or none of the settings above, or in relation to actions or incidents between the Union's representatives and other members, staff or stakeholders.
  - h. Any of the above where an interaction or incident is on social media.
  - i. Where a complaint is made about an activity organised by a Society, Sports Club, Student Led Service or Student Network, including socials, campaigning activity, and activity that can be classed as organised by the Union or any union group or in that group's name, a group of members may be subject to disciplinary action and penalties.

#### Misconduct

12. The following, but not exhaustive, list of actions shall constitute misconduct:

- a. Violent, indecent, disorderly, threatening, abusive or offensive behaviour to any student, employee of Worcester University Students' Union or the University or any visitor to the Union or any member of the public;
- b. Abusive, threatening or offensive language (verbal or written, including via social media) to any student, employee of the Students' Union or the University or any visitor to the University or any member of public.
  This includes any behaviour which may be interpreted as bullying and the submission of vexatious complaints;



- Action likely to cause injury or impair safety on Union or University premises or at events or activities organised by, or on behalf of the Union;
- d. Any discrimination, bullying or harassment of any group or individual on the grounds of gender, race, disability, age, marital status, pregnancy, religion or belief or sexual orientation.
- e. Any behaviour, verbal or physical, which may be interpreted as sexual harassment;
- f. Taking or supplying illegal drugs;
- g. Consuming alcohol not purchased from the Union whilst on Union managed property or at a Union organised event;
- h. Damage to, misuse, or defacement of, Union or University property or in the local community caused intentionally or recklessly;
- Failure to comply with the Union's financial procedures and regulations and with any decision on the use of Union funds made by a properly constituted body of the Union;
- Failure to comply with the Union's Elections Rules as available on the Union website during election periods;
- k. Failure to comply with the Union's meetings rules as outlined in Bye Law 4;
- I. Behaviour which could bring the Union into disrepute;
- m. Failure to report, within 7 days, any criminal conviction or any driving licence penalties, for any member that is responsible for driving any vehicle for and on the Union's behalf;
- n. Failure to abide by Union and University policies and procedures.



### Code of Conduct for Societies, Sports Clubs, Student Led Services

### and Student Networks

- 13. In addition to the standards of behaviour expected of all members, members of Societies, Sports Clubs, Student-Led Services and Student Networks must:
  - a. During social events, be aware of behaviour and the impact on others, particularly members of the local community;
  - b. Not use any form of peer pressure;
  - Not carry out, take part in or promote 'initiation' ceremonies of any kind;
  - Not be unduly intoxicated during any event or activity where such behaviour could be deemed unacceptable or detrimental to the Union or University;
  - e. Abide by the financial and insurance regulations laid out in Bye Law 5 (Student Networks) and Bye Law 6 (Societies, Sports Clubs and Student Led Services);
  - f. Abide by the property regulations laid out in Bye Law 5 (Student Networks) and Bye Law 6 (Societies, Sports Clubs and Student Led Services) and submit property inventories when required;
  - g. Abide by the health and safety regulations laid out in Bye Law 5 (Student Networks) and Bye Law 6 (Societies, Sports Clubs and Student Led Services);
  - Report any concerns for the health and safety or welfare of its members to the appropriate member of Union staff as soon as possible;
  - Abide by any restrictions on the consumption of alcohol during travel to activities using external travel companies;
  - j. Abide by the Union's data protection guidelines and report any breaches immediately;
  - When competing in internal and external competitions in which you represent the Union and the University, do so in a sporting manner, regardless of the nature of the competition. This includes those spectating.;



- I. Where relevant, operate within the rules of the sport/activity including national guidelines which govern that sport/activity.
- 14. Further guidance on welcome and social events is available in the CommitteesHub on the Union's website.

# **Disciplinary Procedure for Members (excluding Officer Trustees)**

- 15. This part of the procedure relates to Members, Associate Members, Honorary Life Members, Volunteers, Representatives (including Course and School Reps), Societies, Sports Clubs, Student Led Services and Student Networks of the Union.
- 16. This procedure will be followed either as a result of an upheld formal complaint or as a result of misconduct identified by Union Staff in agreement with the Chief Executive (CE) of the Union and the Lead Trustee. Informal complaints should be dealt with via the Complaints Procedure, which is outlined in Points 76-95 of this document.
- 17. The Union can suspend a Member from using the Union's premises, services or facilities while investigations are being carried out.
- The Union can suspend the activities of a Society, Sports Club, Student Led Service or Student Network while investigations are being carried out.
- 19. Reports of alleged misconduct by a member should normally be brought to the attention of one of the Officer Trustees but may also be reported to any staff member of the Union. If the matter cannot be resolved informally, they will, in liaison with the Chief Executive (CE), institute a formal investigation into the matter, to be conducted by an impartial and appropriately qualified senior staff member.
- 20. If, following investigation, it appears further investigations are required, a Disciplinary Panel shall be convened. The Union reserves the right to refer the matter to the <u>University's Student Disciplinary Procedures</u>. It should also be noted that following the conclusion of the University's processes they may make recommendations to the Union to apply sanctions to or remove privileges from an individual.

## The Disciplinary Panel

- 21. The Disciplinary Panel shall have three members, one of whom shall be an Officer Trustee (who shall normally act as Chair) but who cannot be the President. The other two members will be selected from Student Council.
- 22. No member of the Panel can be a witness, potential witness, be directly connected with the member being investigated or have any substantive involvement in the matter being considered.
- 23. The CE shall appoint a member of Union staff to act as secretary to the Panel, who shall be responsible for convening the Panel.
- 24. The Panel shall normally be convened within one month of the alleged offence being reported. The member shall be sent written notification of the time, date and place of the hearing, together with notification of the alleged breach, at least 7 working days before the hearing.
- 25. The Panel reserves the right to make its decision in the absence of the member, providing it has made one reasonable attempt to rearrange the hearing. Members will be given the opportunity to attend virtually.
- 26. Any member who may be the subject of a disciplinary investigation will have the right to be accompanied by a friend (who may be a member of the University or Union and not acting in a professional capacity). If the individual is under 18 years of age they must be accompanied by their Parent or Guardian.
- 27. The Panel may call witnesses to give evidence and may ask questions of the witnesses.
- 28. Any written material intended to be used as evidence and the names of any witnesses to be called for meeting of the panel, to be used by either the member under investigation or the Union, should be submitted to the secretary at least 3 working days before the meeting.
- 29. Previous disciplinary outcomes may also be taken into consideration, if they relate to offences of the same or similar nature.



30. The Disciplinary Panel may take the following courses of action. In the case of more serious or financial sanctions being applied, the panel should consult with the Lead Trustee of the Union:

OutcomeTimescaleSupport OfferedNo action to be taken.n/aUnion's Help and Advice Service, University Support and Wellbeing ServiceStudent required to issue an apology.Within 1 weekUnion's Help and Advice Service, University Support and Wellbeing Service, Relevant Union staffFormal Verbal Warning.Valid for 3Union's Help and Advice Service, University Support and Wellbeing Service, Relevant Union staff
Student required to issue an apology.Within 1 week Within 1 weekUnion's Help and Advice Service, University Support and Wellbeing Service, Relevant Union staff
Student required to issue an apology.       Within 1 week       Union's Help and Advice Service,         University Support and Wellbeing Service,       Relevant Union staff
apology. University Support and Wellbeing Service, Relevant Union staff
Relevant Union staff
Formal Verbal Warning. Valid for 3 Union's Help and Advice Service,
months University Support and Wellbeing Service,
Relevant Union staff
Formal Written Warning. Valid for 6 Union's Help and Advice Service,
months University Support and Wellbeing Service,
Relevant Union staff
Pay compensation for Case by case Union's Help and Advice Service,
damage and/or cost. decision University Support and Wellbeing Service,
Relevant Union staff
Exclusion from any Union Set time period Union's Help and Advice Service,
venues, services (including or indefinitely University Support and Wellbeing Service,
membership of Societies, depending on
Sports Clubs, Student Led severity of
Services and Student offence.
Networks), facilities or
affiliated nights.
Temporary suspension ofSet time periodUnion's Help and Advice Service,
Union Membership. depending on University Support and Wellbeing Service,
severity of Relevant Union staff
offence
Permanent expulsion from Indefinitely Union's Help and Advice Service,
the Union as laid out in University Support and Wellbeing Service,



Clause 13.4 of the Union		Relevant Union staff
Constitution.		
Permanently excluded or	Set time period	Union's Help and Advice Service,
temporarily suspended of	or indefinitely	University Support and Wellbeing Service,
role e.g. Course or School	depending on	Relevant Union staff
Representative, Committee	severity of	
position for a Society, Sports	offence	
Clubs, Student Led Service		
or Student Network.		
Excluded from holding	Set time period	Union's Help and Advice Service,
representative or	or indefinitely	University Support and Wellbeing Service,
committee roles in the	depending on	Relevant Union staff
future.	severity of	
	offence	
Recommendation for	Immediate	Union's Help and Advice Service,
referral to the University's	referral	University Support and Wellbeing Service
Disciplinary Procedures.		

31. In addition, the panel may take the following courses of action towards Societies, Sports Clubs, Student Led Services and Student Networks. In the case of more serious or financial sanctions being applied, the panel should consult with the Lead Trustee of the Union:

Outcome	Timescale	Support Offered
Require the Committee as	Within 1 week	Union's Help and Advice Service,
a whole to issue an		University Support and Wellbeing Service,
apology.		Relevant Union staff
Give the Committee	Valid for 6	Union's Help and Advice Service,
and/or entire student	months	University Support and Wellbeing Service,
group a Formal Warning.		Relevant Union staff
Require the Committee to	Case by case	Union's Help and Advice Service,
pay compensation for	decision	University Support and Wellbeing Service,
		Relevant Union staff



damage and/or cost from		
the groups funds.		
Suspend the Society,	Set time period	Union's Help and Advice Service,
Sports Club.	depending on	University Support and Wellbeing Service,
	severity of	Relevant Union staff
	offence	
In the case of Full	Indefinitely	Union's Help and Advice Service,
Members submit a		University Support and Wellbeing Service,
resolution to Student		Relevant Union staff
Council for Permanent		
expulsion from the Union		
as laid out in Clause 13.4		
of the Union Constitution.		
In the case of Associate	Indefinitely	Relevant Union staff
and Honorary Members		
submit a recommendation		
to the Trustee Board to		
have their membership		
revoked.		
Permanently excluded or	Set time period	Union's Help and Advice Service,
temporarily suspended of	or indefinitely	University Support and Wellbeing Service,
role e.g. Course or School	depending on	Relevant Union staff
Representative,	severity of	
Committee position for a	offence	
Society, Sports Clubs,		
Student Led Service or		
Student Network.		
Recommendation for	Immediate	Union's Help and Advice Service,
referral to the University's	referral	University Support and Wellbeing Service
Disciplinary Procedures.		



- 32. The panel will make their judgement on the balance of probabilities.
- 33. The panel shall notify the member of their decision and the course of action within 5 working days, in writing.
- 34. The panel will inform the member if they deem it necessary to inform any member of Union or University staff about the outcome/s of the disciplinary matter and the reasons for them being told.

#### **Appeals**

- 35. Appeals against the decision of the panel may be made to the Chair of the Board of Trustees and must be made in writing within 5 working days of the member receiving notification of the decision.
- 36. The only grounds for appeal are that;
  - j. the panel has not followed the correct procedure and / or
  - new evidence has come to light that, for good reason, could not be presented to the panel at the time.
- 37. A sub-committee of the Board of Trustees, including at least 3 Trustees shall consider the appeal on the Board's behalf. This sub-committee shall appoint a Chair and shall not include any Trustees who were members of the original Disciplinary Panel or who have had any substantive involvement in the matter being considered or who are directly connected with the member/s concerned. If that is not possible, the Union may seek support from an appropriate, senior member of University staff who has had no previous involvement in the case, to sit on the panel.
- 38. The individual or group will be given at least 7 days written notice of the time and place of the meeting of the sub-committee.
- 39. The sub-committee will be given the written material presented to the previous disciplinary meeting, the notes of the proceedings and the decision of that meeting.
- 40. No witnesses present at a prior disciplinary meeting will be recalled, nor will the evidence presented to the disciplinary meeting be reheard, unless the Chair of the sub-committee is satisfied that it is necessary in the interests of justice their deliberations



- 41. The individual or group who have appealed, are entitled to attend the meeting with the sub-committee in order to state their case, and they have the right to be accompanied by a friend (who may be a member of the University or Union and not acting in a professional capacity). If the individual is under 18 years of age they must be accompanied by their Parent or Guardian.
- 42. Any written material intended to be used as new evidence and the names of any friends accompanying the student should be submitted to the secretary at least 3 working days before the meeting.

Outcome	Support Offered		
Confirm the original	Union's Help and Advice Service,		
decision.	University Support and Wellbeing Service,		
	Relevant Union staff (where appropriate)		
Annul (cancel) the	Union's Help and Advice Service,		
original decision.	University Support and Wellbeing Service,		
	Relevant Union staff		
Amend the original	Union's Help and Advice Service,		
decision which may	University Support and Wellbeing Service,		
include a reduction or	Relevant Union staff		
escalation of the original			
penalty.			

43. Outcomes of the review can be one of the following:

44. The sub-committee will make their judgement on the balance of probabilities.

- 45. The sub-committee shall notify the member of their decision and the course of action within 5 working days, in writing.
- 46. The decision of the sub-committee shall be final.
- 47. The sub-committee will inform the member if they deem it necessary to inform any member of Union or University staff about the outcome/s of the disciplinary matter and the reasons for them being told.



## Votes of No Confidence (excluding Officer Trustees)

- 48. This section of the procedures apply to members of Student Council and its subcommittees (excluding Officer Trustees), Course Reps, PGR School Reps and, in line with Bye Law 6 Points 43-45, committee members of Union-affiliated Societies, Sports Clubs and Student Networks.
- 49. The process for removing appointed committee members for Student Led Services can be found in Bye Law 6 Points 52-55.

Votes of No Confidence for members of Student Council or its Sub-Committees

- 50. Members of Student Council or one of its sub-committees, may decide to move to a vote of no confidence where they decide a member (excluding Officer Trustees) has committed a serious misconduct or breach of their duties including, but not limited, to violent behaviour, theft, harassment and bullying or has continued to not perform their role and failed to attend (or, where relevant, send a nominee) three consecutive meetings.
- 51. A Union Staff member will contact any member who has not attended for two consecutive meetings without a sufficient excuse to warn them that a vote of no confidence could result if they don't attend (or where relevant send a nominee to) a third meeting
- 52. A vote of no confidence may only pass if there is a two-thirds majority vote in favour.
- 53. If a vote of no confidence is passed by Student Council, the member of the Council will be removed from their post on the day the vote is passed.
- 54. If a vote of no confidence is passed by a sub-committee of Student Council this will be considered advisory and will be referred to Student Council to confirm the vote of no confidence. If Student Council confirms the vote of no confidence, the member will be removed from the sub-committee they are a member of.
- 55. Any removed member of Student Council or one of its sub-committees will be entitled to appeal in writing to the Executive Committee. The Executive Committee's decision is final.
- 56. For roles such as Network chairs removal from Student Council may not mean automatic removal from their position on the Network Committee. However, the



Union reserves the right to refer these students to the Union's disciplinary procedure.

Votes of No Confidence for committee members of Societies, Sports Clubs and Student Networks

- 57. Society, Sports Club and Student Network members may remove any member of their committee by submitting a secure petition to the Executive Committee signed by at least 50% + 1 of their membership.
- 58. A Vote of no confidence shall be deemed as a last resort and any member wishing to implement a vote of no confidence should exhaust all other avenues prior to starting proceedings.
- 59. The Executive Committee may reject any petition for a Vote of no confidence if they feel that all other avenues, such as informal mediation, have not been used first.
- 60. The vote may be held at a members' meeting of the Society, Sports Club or Network or via an online vote, no later than 15 working days from the date the petition is considered by the Executive Committee. An Officer Trustee or member of Union staff will attend any meeting to oversee the vote and ensure it is fair.
- 61. A vote of no confidence will pass if there is a simple majority vote in favour of members present. A minimum of 20% of the membership must attend for the vote to be valid.
- 62. If a vote of no confidence is passed by the members, the Committee member will be removed from their post on the day the vote is passed.
- 63. Any removed member of a committee will be entitled to appeal in writing to the Executive Committee. The Executive Committee's decision is final.

Votes of No Confidence for Course Representatives and PGR School Reps

- 64. Students on any course, at any level of study may remove a Course Rep or PGR School Rep by submitting a secure petition to the Executive Committee signed by at least 50% + 1 of the students they represent (e.g. the students on the same level and course as the rep).
- 65. A Vote of no confidence shall be deemed as a last resort and any student wishing to implement a vote of no confidence should exhaust all other avenues prior to starting proceedings.



- 66. The Executive Committee may reject any petition for a Vote of no confidence if they feel that all other avenues, such as informal mediation, have not been used first.
- 67. The vote may be held at a course (or school or PGR) meeting or via an online vote, no later than 15 working days from the date the petition is considered by the Executive Committee. A Officer Trustee or member of Union staff will attend any meeting to oversee the vote and ensure it is fair.
- 68. A vote of no confidence will pass if there is a simple majority vote in favour of students present. A minimum of 20% of the cohort the rep represents must attend for the vote to be valid.
- 69. If a vote of no confidence is passed by the members, the Committee member will be removed from their post on the day the vote is passed.
- 70. Any removed Course Rep or PGR School Rep will be entitled to appeal in writing to the Executive Committee. The Executive Committee's decision is final.

# Disciplinary Procedures specifically related to Full-time Officer Trustees

- 71. With regards to Officer Trustees, whilst their 'political' performance as an elected student representative remains accountable to Student Council and the wider student membership through a General Meeting, in recognition of their unique position within the organisation as employees as well as Trustees, they are also subject to the Students' Union's Disciplinary Procedures Relating to Officers, as detailed in the Employee Handbook. This concerns any performance-related issues or complaints regarding their conduct in their capacity as an employee of the Union. The outcome of invoking this process may result in disciplinary action and, in cases of serious or gross misconduct, a termination of their employment with the Union.
- 72. A Full Time Officer Trustee who has their employment terminated with the Union will, thereby, be rendered unable to fulfil the post and responsibilities of Officer Trustee and will, therefore, be removed from office



## Votes of No Confidence

- 73. A vote of no confidence in an Officer Trustee may be called for by a simple majority of members voting in a referendum, provided at least 5% of members have voted in the referendum, as defined in Clause 50.1 of the Union Constitution.
- 74. In line with Clause 50.2 of the Union Constitution, a vote of no confidence in an Officer Trustee may also be brought by a petition of the membership. The petition must be signed by at least 5% of the membership. The petition will be taken to a General Meeting of the membership and to take effect would need to pass by 66% of those members present.
- 75. The removal of an Officer Trustee shall only take effect once the Union has carried out any steps it is required to take under the Officer Trustee's contract of employment and/or the applicable disciplinary procedure and otherwise in accordance with good employment practice. While such steps are being taken, the Officer Trustee may be suspended from their duties. Where an Officer Trustee is removed as a Trustee, their employment with the Union and their status as a Member of the Union shall also terminate (save that they may become a Member again, if they become a Student).



# Complaints

- 76. A complaint may be brought against the Union by a Member (for a definition of Members please see Bye law 1 - Membership) The person bringing the complaint will be called 'the complainant'.
- 77. Any complaint about a member of Union staff shall be dealt with according to the Union's Staff Handbook.
- 78. Complaints against the Union brought by a member of Union staff or an Officer Trustee in connection with his or her employment or workplace matters shall be brought in accordance with the Staff Handbook.

#### **Informal complaints**

79. Complaints should be resolved informally and at the origin of the complaint wherever possible. A constructive approach by all parties will often result in an acceptable remedy or solution. For example, a personal response to the complaint by the appropriate Union manager may be sufficient.

## Formal complaints

- 80. If, having followed the informal route the complainant feels their concerns have not been properly addressed or where the complaint is particularly serious or confidential, then the formal procedure should be followed.
- 81. The Union will not accept anonymous complaints as anonymity precludes any independent investigation of the substance of any claims.

#### Stage One

82. Complainants should utilise the Union's formal complaints procedure, which shall be made accessible to all members via the Union's website. The complaint should be sent, through this process, to the Union's Chief Executive (CE) who will acknowledge receipt within 5 working days. If the complaint is about the CE, it should be sent to the President as Chair of the Trustee Board.



- 83. The CE may delegate the initial investigation to another appropriate senior member of staff (or operational manager if it is a complaint about a Union operational practice or procedure). The Lead Trustee of the Trustee Board may be asked to help investigate the complaint.
- 84. A complaint about an Officer Trustee should be referred in the first instance to the President. A complaint about the President should be referred in the first instance to the Deputy Chair of the Board of Trustees, whose details will be available on the Union's website. They may ask the Lead Trustee of the Board to help investigate the complaint.
- 85. The investigation will normally be completed and the complainant will normally receive a written substantive response within 20 workings days. This can sometimes take longer e.g. during vacation periods. The complainant may be asked to discuss the matter in person but will always receive a written response.
- 86. If after this stage the complaint still remains unresolved to their satisfaction, the complainant may decide to move on to Stage Two of the procedure.
  - a. The complainant must notify the CE (or, if the complaint was about the CE, the President) in writing of their intent to do so within 5 days of the date of written notification of the outcome of Stage One.

Stage Two – Review by the Union

- 87. The President (or, if the complaint was about the President, the Lead Trustee) will review the case and may request additional documentation to determine whether it is appropriate for the complaint to be considered by an appeals panel. If so, an appeals panel will be convened.
- 88. Each member of the appeals panel shall be independent and, where possible, shall not have had any substantive involvement in the matter under appeal or with the investigation carried out under Stage One and shall have no prior knowledge of the complaint.
- 89. The appeals panel shall consist of:
  - b. The President (or if the complaint is about the President, a Trustee) who shall act as Chair;



- c. A Student Trustee or Student Councillor (who must not have any connections to the case of the individual/s concerned);
- d. A Lay Trustee
- 90. If it is not possible to convene an impartial panel with the members above, the Chair may seek support from an appropriate, senior member of University staff who has had no previous involvement in the case, to sit on the panel.
- 91. The panel will be supported by a senior member of Union staff, to advise and act as secretary.
- 92. The panel will investigate the complaint in its entirety. The complainant has the right to present their case in person or in writing to the panel.
- 93. At the end of its investigation, the panel will:
  - I. uphold the complaint in whole or in part,
  - m. make recommendation for any remedies to be applied or
  - n. dismiss the complaint.
- 94. The appeals body will normally take no more than 28 working days from the start of its investigation to reach its decision.
- 95. The final decision shall be notified in writing to the complainant by the Chair of the panel.